History of Europeans' Participation in UN Peace Operations:

Should the European States Go back to UN Peacekeeping?

ヨーロッパの国連平和維持活動への参加の歴史 -ヨーロッパ諸国は国連ミッションに回帰すべきか-

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概要

現在ヨーロッパ諸国の国連平和維持活動に対する参加状況は、東西冷戦時代に彼らが一貫して国連ミッションに貢献した「黄金期」と比較すると輝かしいものではない。ポスト冷戦期においてヨーロッパ諸国は、国連ミッションよりはNATO(北大西洋条約機構)が指揮を執るミッション、アフリカ諸国におけるヨーロッパ連合(EU)主導のミッション、さらにはアフガニスタンの国際治安支援部隊(ISAF)のような非国連の大型ミッションに多くの兵を送ることが多い。なぜならヨーロッパ諸国は、国連平和維持活動がミッションをより効果的に実行するためのコンセンサスを得ることが困難であり、よって複雑なミッションにおいてはそれを展開するうえでの十分な能力を国連は持ち合わせていないと認識しているからである。現在ヨーロッパ諸国は、EU、NATO、あるいは国連主導のミッションに大別して3種類の平和ミッションを選択することができる。

またアフリカにおける平和ミッションに関しては、多くのアフリカ諸国が AU 主導のミッションよりもむしろ国連ミッションにその兵を派遣する傾向にある。その結果アフリカに駐留する多くの国連ミッションは、地元のアフリカ諸国の兵によって構成されている。ヨーロッパ諸国も近年フランスを除けば、アフリカ諸国そのものに対しての彼らの国益の欠如によりアフリカの平和ミッションの参加に対しては消極的であった。

それにもかかわらず本論は、ヨーロッパ諸国は次の3つの理由で国連平和ミッションに 回帰すべきと提案する。まず第一の理由に現在の多くのEUミッションは、限定的であり、 規模や目的に関しても国連ミッションとは異なる。よってはヨーロッパ諸国は、EUと国 連のミッションを別個のものと考え、国連ミッションにもヨーロッパにとって需要がある ために参加すべきというものである。2番目にヨーロッパ諸国には国連ミッションに参加すべき「正当性」が存在するということである。3番目には、技術面などを代表するよう な国連平和ミッションをヨーロッパ諸国が持ち合わせている「価値」の存在があげられる。

キーワード: ヨーロッパ諸国、国連平和維持活動、ヨーロッパ連合、正当性

Abstract

It is difficult to mention that the European countries currently play a significant role in the UN peacekeeping operations, compared with their "golden ages" as the consistent peacekeepers during the cold-war period. In the post-cold war period, the European countries are more willing to send their troops to NATO-commanded operations in Europe such as KFOR and IFOR, to small and short-term EU operations in Africa, and to large numbers of troops to ISAF in Afghanistan, than to UN peacekeeping. It is due to their recognition that UN peacekeeping has difficulty in building a consensus to make the mission effective, and therefore that it does not have sufficient capability to deal with complex missions. The European countries are currently given the choice of theirs "peacekeepers" dispatching to EUled, NATO-led or UN-led peacekeeping operations, or none of the above. In terms of peacekeeping operations in Africa, the African countries gave a shift of their troops' deployment from regional to UN peacekeeping. As a result, many UN peacekeeping operations in Africa largely consisted of African troops. Meanwhile, the involvement of European countries, except France, in African conflicts has been insignificant due to a lack of their national interest. However, this paper advocates that the Europeans should go back to UN peacekeeping for three reasons. First, many EU peace operations, which are very limited and specified in their size and goals, are very different from UN operations. Therefore, European states should be encouraged to participate in UN peacekeeping when their professionalism is demanded. Second, European participation in UN peacekeeping should be recommended by the factor of legitimacy. Third, Europeans are demanded to participate in UN peacekeeping operations because of the values which Europeans have in conducting UN peacekeeping operations.

Keywords: European states, UN Peacekeeping Operations, European Union, legitimacy

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1. Introduction

Currently, the performance of the European countries in the UN peacekeeping operations is not significant, compared with their "golden ages" as the consistent peacekeepers during the cold-war period. In the post-cold war period, the European countries are more willing to send their troops to NATO-commanded operations in Europe such as KFOR and IFOR, to many small and short-term EU operations in Africa, and to the massive troops to ISAF in Afghanistan, than to UN peacekeeping. Furthermore, ISAF might have been "a good excuse" for their passive participation in UN peacekeeping. However, the mission of ISAF terminated at the end of 2014. Therefore, the European countries was given their choice of their peacekeepers' dispatch to EU-led, NATO-led or UN peacekeeping operations, or none of the above. In reality, European troops are dispatched to the limited UN peacekeeping in the Middle East, namely, UNIFIL, and some other UN peacekeeping as a "token" operation. The EU countries also dispatched their own small peacekeeping mission with limited mandate for short terms. Meanwhile, in terms of peacekeeping operations in Africa, the African countries gave a shift of their troops' deployment from regional to UN peacekeeping. As a result, many UN peacekeeping operations in Africa largely consisted of African troops. The involvement of European countries, except France, in African conflicts has been passive. Since the majority of UN peacekeeping operations is located in Africa, Europeans' commitment to UN peacekeeping has been significantly diminished. How can the diminished commitment for their participation in UN peacekeeping be explained? Does it have legitimacy? Should they go back to UN peacekeeping? If so, why?

This paper will focus on European participation in UN peacekeeping operations, and will answer the above questions. First, this paper will refer to the history of their strong enthusiasm about UN peacekeeping dispatch. Then, this paper will focus on the advent of regional and non-UN peacekeeping operations and how European states were involved in

them. The last part of this paper will argue over whether European states should be recommitted to UN peacekeeping operations from various viewpoints.

2. The History of the Contribution of European States to UN Peacekeeping Operations

During the cold-war period, many European states, especially West European states, were encouraged to participate in UN peacekeeping operations. Many western countries, except for two permanent members of UN Security Council, the UK and France, were the so-called "middle powers", and became enthusiastic contributors to UN peacekeeping operations. When UN peacekeeping operations were established in 1948 and then gained international legitimacy during the cold-war period, many Asian and African states were still not independent or their national troops were still too immature in their military skills and equipment to be sent to UN peacekeeping.

In fact, the European middle powers were considered to be the ideal UN peacekeepers; on the one hand, in a peacekeeping role which mainly focuses on mediation and arbitration, the coercive nature of the great powers would make host states apprehensive; and on the other hand, peacekeeping is a para-military role which requires appropriate military equipment, mission skills, discipline of soldiers, and high morale amongst troops. European middle powers also provided logistical support capability, which is another important sector in peacekeeping missions. Therefore peacekeeping gave the middle powers a chance to have a leading role in international security issues, which restricted the superpowers' role. This intention was shared with most of the European middle power contributions such as the Nordic States, Ireland, Portugal etc.

It is true that fear of starting World War III from the conflagration in the Middle East in 1956 and in 1973 encouraged European middle powers to be committed to peacekeeping operations, UNEF I and UNEF II, respectively. As can be seen from Table 1, the Europeans accounted for about 41 percent of the total contributing states in the major UN peacekeeping operations during the Cold-War period. Most of the European states in these figures are those of the middle European powers, with the exception for the cases of the UK in UNFYCIP and France in UNIFIL. Meanwhile, the contribution of the African states was very limited during this period. They accounted for 16 percent of the total contributing states in the major UN peacekeeping operations, and the majority of them were dispatched to the Congo in ONUC in the early 1960s to protect their own region. It was clear that Canada was the most consistent contributor, who indeed participated in all of the ma-

jor UN peacekeeping operations. Australia was also an enthusiastic contributor as well as the European states. In short, not only European middle powers but also "the middle Western states" should be categorized as "positive contributors to UN peacekeeping.

Table 1: Main UN Peacekeeping Operations and Contributing States during the Cold-War Period

Missions	Durations	Contributing States	Euro%
UNEF I (Sinai)	Nov. 1956 — Jun. 1967	Brazil, Canada, Colombia, Denmark , Finland , India, Indonesia, Norway , Sweden , Yugoslavia	50%
ONUC (Congo)	Jul. 1960 — Jun. 1964	Argentina, Austria, Brazil, Burma, Canada, Ceylon, Denmark, Ethiopia, Ghana, Guinea, India, Indonesia, Iran, Ireland, Italy, Liberia, Malaya, Federation of Mali, Morocco, Netherland, Nigeria, Norway, Pakistan, Philippines, Sierra Leone, Sudan, Sweden, Tunisia, UAR, Yugoslavia	27%
UNYOM (Yemen)	Jul. 1963 — Sep. 1964	Australia, Canada, Denmark , Ghana, India, Italy , Netherlands , Norway , Pakistan, Sweden , Yugoslavia	55%
UNFICYP (Cyprus)	Mar. 1964 — Present	(When Established in 1964) Austria, Canada, Denmark, Ireland, Sweden, the UK	83%
UNIPOM (India- Pakistan)	Sep. 1965 — Mar. 1966	Australia, Belgium , Brazil, Burma, Canada, Ceylon, Chile, Denmark , Ethiopia, Finland , Ireland , Italy , Nepal, Netherlands , New Zealand, Nigeria, Norway , Sweden , Venezuela	42%
UNEF II (Sinai)	Oct. 1973 — Jul. 1979	Australia, Austria, Canada, Finland, Ghana, Indonesia, Ireland, Nepal, Panama, Peru, Poland, Senegal, Sweden	38%
UNDOF (Golan Heights)	Jun. 1974 — Present	(When Established in 1974) Austria, Canada, Peru, Poland	50%
UNIFIL (South Lebanon)	Mar. 1978 — Present	(When Established in 1978) Canada, France , Iran, Nepal, Nigeria, Norway , Senegal	29%
		Total	41%

Source: United Nations *The Blue Helmets: A Review of United Nations Peace-keeping, Third Edition* (New York: The United Nations Department of Public Information, 1996), William J. Durch (ed.) *The Evolution of UN Peacekeeping: Case Studies and Comparative Analysis* (New York: St. Martin's, 1993)

The end of the Cold War, which terminated the indiscriminate use of the veto by the permanent members of the UN Security Council, led to a significant increase in the quantity of UN peacekeeping operations. For example, the number of UN peacekeeping operations deployed was increased from 11 in January 1988 to 28 by December 1994. So was the number of states contributing to UN peacekeeping.

According to the author's statistics from *The Blue Helmets: A Review of United Nations Peace-keeping, Third Edition* (New York: The United Nations Department of Public Information, 1996), in total 112 states out of the whole 181 members of the UN participated in at least one UN peacekeeping operation. The total number of contributing states to UN operations between 1948 and 1996 was 905 states. Therefore, the average number of peacekeeping operations per contributing state was 8.1 operations. Table 2 is a list of 27 states, which can be considered to be "the positive contributors" to UN peacekeeping operations which contributed at least 15 UN operations from 1948 to 1996.

Table 2: The Top 27 contributing states to UN peacekeeping from 1948 to 1996, by the number of operations where the states dispatched their contingents

The Number of Operations	Contributing States		
33	Canada		
26	Ireland, Sweden, Jordan		
25	Norway		
22	Argentina, Bangladesh		
21	India, Pakistan		
20	Austria, Netherland, Poland, Ghana,		
19	Denmark, Finland, Indonesia, Malaysia, Nigeria		
18	New Zealand,		
17	France, Egypt		
16	Russia, Australia, Brazil		
15	Belgium, Italy, Kenya		

Source: United Nations *The Blue Helmets: A Review of United Nations Peace-keeping, Third Edition* (New York: The United Nations Department of Public Information, 1996)

According to Table 2, the top 27 major contributing states dispatched their national contingents to in total 542 operations. Among them, the European states contributed to 256 operations, which accounted for 47 percent of the total operations. Meanwhile, the African states contributed to in total 71 operations, which accounted for 13 percent of the total operations. In terms of the total number of military personnel of UN peacekeeping, at the end of 1996, the grand total number was 24,919. The number of European personnel was 9,379, which accounted for about 38% of the total UN personnel. (Table 3)

Table 3: The Top 50 Troop Contributing States to UN Peacekeeping Operations (December 1996)

Rank	Contributing States	Strength	Rank	Contributing States	Strength	Rank	Contributing States	Strength
1	Pakistan	1,712	18	Ireland	745	35	Hungary	102
2	India	1,211	19	Norway	732	36	Netherlands	100
3	Bangladesh	1,184	20	Fiji	642	37	Kenya	95
4	Russia	1,173	21	Argentina	595	38	Bulgaria	79
5	Jordan	1,127	22	Slovak Rep.	588	39	Nigeria	78
6	Poland	1,097	23	Zambia	551	40	Italy	71
7	Canada	1,044	24	France	502	41	Senegal	66
8	Brazil	978	25	Ukraine	438	42	Mali	62
9	Finland	924	26	Portugal	406	43	Czech Rep.	49
10	Uruguay	912	27	UK	405	44	Spain	47
11	Zimbabwe	894	28	Namibia	206	45	Japan	45
12	Austria	867	29	Indonesia	205	46	Korea Rep.	40
13	Belgium	836	30	Germany	173	47	Turkey	40
14	Romania	787	31	Sweden	168	48	China	38
15	Nepal	767	32	Denmark	125	49	Australia	32
16	Ghana	766	33	Malaysia	122	50	Tunisia	32
17	USA	759	34	Egypt	102			

Source: The Monthly Summary of Troop Contributions to Peacekeeping Operations, as of 31 December 1996, Department of UN Peacekeeping Operations, NY

On the whole, the European states contribute to UN peacekeeping operations not only during the cold war period after its establishment in 1948, but also in the early post-cold war period, when the European states consistently met the increasing demand of UN operations. It is to be noted that the European states contributed about 40 percent of the total contributing states as well as the total strength. This figure was significant even if one accepted the relative superiority of economic and military strength which the European states had in the international community. In other words, the European states positively accepted the philosophy of the UN as multi-lateralism and put themselves in the framework in UN security system by participating in peacekeeping operations.

Peacekeeping and Peace-making by Regional Organizations and its Impact on European States' Commitment to UN Peacekeeping Operations

In the post-cold war period, the international community became more insecure, the framework of conflict resolutions became more diverse and complex, and this tendency became more significant after the so-called "9.11" terrorist attack. This tendency brought about the proliferation of operations mandated and conducted by regional organizations such as AU, EU and NATO and coalitions of the willing.

In fact, the UN showed a positive stance towards its cooperative action with regional organizations. The Report of the Secretary-General's High-level Panel on Threat, Challenges and Change, "A More Secure World: Our Shared Responsibility" in 2004, accepted that there has been a trend towards a variety of regional- and sub-regional-based peace-keeping missions. On the one hand, Secretary-General Kofi Annan claimed that this trend held the promise of developing regional capacity to address shortfalls in the numbers of peacekeepers. On the other hand, he also considered that this posed a challenge for the Security Council and regional organizations to work closely with each other and mutual support of each other's effort to keep the peace and ensure that regional operations are accountable to universally accepted human rights standard. In 2005, Annan reaffirmed the importance of complementary roles which the UN and regional organizations should play in facing the challenges to international peace and security. He also desired to introduce memoranda of understanding between the UN and regional organizations, governing the sharing of information, expertise and resources.

Table 4: Examples of Non-UN Peace Operations conducted by the European states, authorized by the UN Security Council, 1994-2009

types of missions	examples
"spear-head" operations	NATO-led IFOR in Bosnia (1995): helping implement the Dayton Accord the French <i>Operation Licorne</i> in Cote d' Ivoire (2002-03): prior to ECOFORCE and MINUCI
stabilization operations	NATO-led SFOR in Bosnia (1996) NATO-led KFOR in Kosovo (1999-present): supporting UNMIK NATO-led ISAF in Afghanistan (2003-2014): supporting UNAMA
"fire-fighting" operations	NATO's Operation Deliberate Force in Bosnia (1995): supporting UNPROFOR the French-led <i>Operation Turqoise</i> in Rwanda (1994): supporting UNAMIR the UK-led <i>Operation Palliser</i> in Sierra Leone (2000): supporting UNAMSIL the French-led <i>Operation Artemis</i> in the DRC (2003):supporting MONUC
"over-the-horizon" operations	EUFOR RD in the DRC (2006): supporting elections

Source: Alex J. Bellamy and Paul D. Williams, "The West and Contemporary Peace Operations", *Journal of Peace Research*, Vol. 46, No. 1, 2009, pp. 39-57

Table 4 gives the examples of non-UN peace operations conducted by the European states and authorized by the UN Security Council. They were in the framework of the EU and NATO and deployed by only a single great European power, the UK and France in 1994-2009. The EU's commitment to regional peacekeeping operations has been based on its Common Foreign and Security Policy (CFSP), which was created at the Amsterdam Treaty effective in May 1999. In fact, CFSP has several objectives in its policy. They include not only "to safeguard the common values and interests" and "to strengthen the security of the Union" but also "to preserve peace and strengthen international security in accordance with the principles of the United Nations Charter." The High Representatives of CFSP also claimed that the EU made further progress to enhance EU CSDP (Common Security Defense Policy) support to UN peacekeeping. This included the preparation of a clear house model to create a policy agreement on the EU facilitating coordinated Member States' contribution to UN operations. However, despite EU's ambition and goal to contribute to international or regional security, there are few major peacekeeping operations with thousands of troops led by the EU.

Meanwhile, NATO is the most functional and effective military alliance in the world that can hardly be challenged in the technological and logistics realm of military missions. William Durch argued that several regional organization are building up their capability to provide such security but there are just two international organizations that can recruit, manage, and sustain peacekeeping forces in the more volatile of post-war settings: the UN and NATO. However, Durch also pointed out that there are several substantial differences between the UN and NATO. First, the evolved purpose of the UN includes support for human rights, national sovereignty, and self-determination, while the evolved purpose of

NATO is to promote military stability and political development in and around Europe. Second, the targets of the UN are bad governance and political instability, disease, fear, oppression, organized crime and terrorism, while NATO's targets include global terrorist organizations, fundamentalist tyranny, and aggressive hyper-nationalism. Therefore, there should be the so-called "division of labour" in peace operations between the UN and NATO. UN peace operations are more suitable and preferable for multi-functional missions which focus on state-building. NATO peace operations are more suitable for coercive peace-making or stabilization missions, prior to comprehensive UN missions. In fact, as can be seen from Table 4, NATO conducted stabilization operations such as SFOR, KFOR and ISAF in Bosnia, and fire-fighting operations such as *Operation Deliberate Force* in Afghanistan.

Therefore, one question can be raised, "To what extent have UN peacekeeping operations been affected by European states' enthusiasm in their regional peacekeeping?" The most significant non-UN peace operation affecting European countries in the post 9.11 period was the International Security Assistance Force (ISAF) in Afghanistan. ISAF was created in accordance with the Bonn Conference in December 2001, in order to create a secure environment in and around Kabul by eliminating the Taliban regime and international terrorists and support the reconstruction of Afghanistan. ISAF was authorized by UNSC 1386 on 20 December 2001, and was initially commanded by a "Coalition of the Willing", and on 11 August 2003, NATO assumed leadership of the ISAF operations. In October 2003, the UN, through UNSC 1510, extended ISAF's mandate to cover the whole of Afghanistan.

Table 5 refers to the commitment of the 22 positively contributing European states to UN peacekeeping in 1996 listed in Table 3, to UN peacekeeping and ISAF in January 2007 and October 2011. The total strength of ISAF in January 2007 was still modest, 35,460. Afterwards, the strength was significantly expanded to more than 130,000 (137,638) in October 2011. As shown in Table 5, in January 2007, one can see 16 out of 22 states decreasing the number of dispatched troop personnel to UN peacekeeping compared with the number in 1996. Furthermore, 15 out of the 16 states (excluding Ukraine), dispatched their troop personnel to ISAF. Presumably, among the 15 states, the decision of their commitment to ISAF by 13 European states (excluding Ireland and Slovak Rep.) resulted in decrease of the number of troops dispatched to UN peacekeeping operations. Especially, several East European states, which used to be the enemies of NATO, such as Romania, Bulgaria and Czech Rep. expressed a significant shift of their security policy to the

NATO framework. They sent no troops to UN peacekeeping in January 2007, and they instead sent almost the same size of troops (or more) which they had sent to UN peacekeeping in 1996, to NATO-commanded ISAF in January 2007.

Table 5: The Number of the Dispatch of Troop Personnel of Main European States' Contribution to UN Peacekeeping Operations and ISAF, 1996-2011

	1996		Jan. 2007		Oct. 2011		
Contributing States	UN PKO	UN PKO	UN missions deployed	ISAF	UN PKO	UN missions deployed	ISAF
Poland	1,097	653 ↓	UNDOF344, UNIFIL319	160	0 ↓		2,580
Finland	924	218 ↓	UNIFIL 213, UNMIL 3 UNMIS 2	70	2 ↓	UNIFIL 2	195
Austria	867	377 ↓	UNDOF 373, UNFYCIP 4	500	383 →	UNDOF 377, UNFYCIP 4 UNIFIL 2	3
Belgium	836	361 ↓	UNIFIL 361	300	120 ↓	UNIFIL 98, MONUSCO 22	577
Romania	787	0 ↓		750	$0 \rightarrow$		1,947
Ireland	745	492 ↓	UNMIL 331, UNIFIL 161	10	453 →	UNIFIL 453	7
Norway	732	139 ↓	UNIFIL 130, UNMIS 9	350	4 ↓	UNMISS 4	582
Slovak Rep.	588	292 ↓	UNFYCIP 196, UNDOF 96	50	159 ↓	UNFYCIP 159	326
France	502	1868 ↑	UNIFIL 1,680, UNOCI 185 MINUSTAH 2, UNMIL 1	1,000	1312 ↓	UNIFIL 1303, UNOCI 6 MINUSTAH 2, UNMIL 1	3,932
Ukraine	438	300 ↓	UNMIL 300	0	277 →	UNMIL 277	23
Portugal	406	146 ↓	UNIFIL 146	150	150 →	UNIFIL 150	229
UK	405	276 ↓	UNFYCIP 270, UNMIL 3 UNMIS 3	5,200	275 →	UNFYCIP 271, UNISFA 2 UNMISS 2	9,500
Germany	173	930 ↑	UNIFIL 913, UNMIL 12 UNMIS 5	3,000	241 ↓	UNIFIL 234, UNMISS 4, UNAMID 3	5,150
Sweden	168	70 ↓	UNIFIL 68, UNMIS 2	180	2 ↓	UNMISS 2	614
Denmark	125	55 ↓	UNIFIL 48, UNMIS 6 MINURSO 1	400	149 ↑	UNIFIL 147, UNMIL 2	750
Hungary	102	88 ↓	UNFYCIP 84, UNIFIL 4	180	81 →	UNFYCIP 77, UNIFIL 4	415
Netherlands	100	174 ↑	UNIFIL 171, UNMIS 3	2,200	1 ↓	UNAMID 1	183
Bulgaria	79	0 ↓		100	$0 \rightarrow$		597
Italy	71	2427 ↑	UNIFIL 2427	1,950	1811 ↓	UNIFIL 1810, UNMISS 1	4,213
Czech Rep.	49	0 ↓		150	$0 \rightarrow$		694
Spain	47	1108 ↑	UNIFIL 1108	550	1073 →	UNIFIL 1073	1,526
Turkey	40	526 ↑	UNIFIL 522, UNMIS 4	800	361 ↓	UNIFIL 361	1,840

Source: Military Balance 2012, International Institute for Strategic Studies, The Monthly Summary of Troop Contributions to Peacekeeping Operations, as of 31 December 1996, 31 January 2007, and 30 October 2011, Department of UN Peacekeeping Operations, NY

Meanwhile, six states, France, Germany, the Netherland, Italy, Spain, and Turkey, increased the number of UN peacekeeping dispatch in January 2007, in comparison with 1996. All of them are the large powers in Europe and the core members of NATO, and, therefore, all of them sent a significant size of troops to ISAF in 2007. Furthermore, it is to be noted that the main or only reason for the significant increase of their UN peacekeeping

dispatch in 2007 is participation in UNIFIL in South Lebanon. The strength of UNFIL was significantly expanded by UNSC 1701 in August 2006 when conflict between Israel and Hizbollah erupted and a heavy exchange of fire worsened the situation in South Lebanon. Therefore, the commitment of European great powers such as France and Germany to UNIFIL in 2006 and its aftermath was attributed to their geo-political interests in South Lebanon located in the Middle East. For example, Kai Michael Kenkel, the German expert on security, clearly said that Germany is more likely to contribute to UN peacekeeping in significant numbers where it is likely to be joined by its European allies and where there is an overlap with alliance interests. In fact, the preoccupation of the European states with UNFIL was remarkable in January 2007. UNIFIL accounted for 78.5% of the total troops dispatched by 22 European states to UN peacekeeping operations.

The tendency of the departure of the European states from UN peacekeeping became more significant in October 2011, when only one state out of 22, Denmark, increased its troop personnel to UN peacekeeping operations, compared with January 2007. Ten states almost maintained their total troop strength to UN peacekeeping in 2011, and 11 states further decreased their total troop strength compared with 2007. The total troop strengths of 22 European states were reduced from 10,510 in January 2007 to 6,854 in October 2011. Again, UNIFIL accounted for 86 percent of the total European participation in UN peacekeeping. In terms of the figure of ISAF in 2011, indeed 20 out of 22 European states increased troop strength dispatched to ISAF. In particular, the enthusiasm and commitment of Poland, Romania, Sweden, Bulgaria, Czech Rep. and Turkey to NATO-led ISAF was remarkable.

Table 5 also indicated that while European states dispatched massive troops to UNI-FIL, they sent "token troops", where states send less than ten troops, to a number of UN missions. The token troops were identified in UNMIS (Sudan), UNMISS (South Sudan), UNMIL (Liberia), MINUSTAH (Haiti) and UNOCI (Cote d'Ivore) etc, most of which are African UN missions. In reality, many contributing states have sent a small number of staff officers who work at the mission headquarters. In fact, Katharina Coleman argued that token troop contributions represent a deliberate strategy to spread state's military resources over more multilateral operations. Token contributors can get access to operational and political information circulated within the mission and the right to attend UN meetings on the mission. This benefit can be provided with small cost and capability by sending a small number of staff officers in UN missions. In other words, European states made a clever policy to UN peacekeeping operations; they sent a small number of staff officers as

a token contribution to strategically less significant African UN missions, sent relatively large troops to UN peacekeeping in the Middle East, such as UNIFIL, and sent more massive troop numbers to a more strategically important NATO mission, namely, ISAF. The policy of this division of labour was attributed to the reality that the capacity of states' commitment to sending troops overseas has been mostly fixed and not significantly changed in a short term.

4. The Peacekeeping Strategies of European States in the post-ISAF Period: Should They Go back to UN Peacekeeping?

Departure of European states from UN peacekeeping became more noticeable afterwards. In September 2012 European countries (including non EU states) were contributing less than 5 per cent or 4,670 troops out of 97,199 in UN peacekeeping operations. Meanwhile, ISAF terminated at the end of 2014. European states have had more of a tendency to join their peacekeeping missions within the framework of the EU than NATO and the UN.

Table 6: EU Peace Operations (military operations) 2015

Mission	Country	Period	Troop Size
ARTEMIS	RD Congo	Jun. 2003-Sep. 2003	1,800
CONCORDIA	FYROM	Mar. 2003-Dec. 2003	350
EUFOR ALTHEA	Bosnia Herzegovina	Dec. 2004-present	7,000
Support to AMIS II	Sudan / Darfur	Jul. 2005-Dec. 2006	15
EUFOR RD Congo	RD Congo	Jul. 2006-Nov. 2006	NA
EUFOR Tchad / RCA	Chad and CAR	Jan. 2008-May 2009	3,000
EU NAVFOR	Atalanta	Dec. 2008-present	NA
EUTM Somalia	Somalia	2010-present	125
EUTM Mali	Mali	2013-present	80
EUROR RCA	CAR	Feb. 2014-Mar. 2015	700
EUMAM RCA	CAR	2015-present	60

Source: European External Action Service 2015.

 $http://eeas.europa.eu/csdp/missions-and-operations/index_en.htm.\\$

As can be seen from Table 6, the EU has created 11 military peace operations. Furthermore, the EU has deployed 23 civilian missions. It must be disappointing for pro-UN figures who expected that EU states would go back to UN peacekeeping operations after the termination of ISAF. One can now pose a simple question, "Should the European states go back to UN peacekeeping operations?"

4-1 Anti-UN peacekeeping perspectives for Europeans

There are several reasons for a negative answer to the above question. Main reasons can be divided into three factors: they are the European states' policy of political realism, the principle of self-sufficiency, and European criticism of UN operations.

4-1-1 political realism

The first reason is based on the factor of political realism. Some claim that the countries that financially support UN peacekeepers, including many European states, are usually not the source for peacekeepers (Table 7). ¹¹

Table 7: Top 5 countries financially contributing to the UN and the numbers of their personnel toparticipating in UN peacekeeping operations (2014)

	Country	Share of financial contribution to the UN PKO (%) (2014)	Number of personnel to UN peacekeeping (rank) (Dec. 2014)
1st	US	28.3	127 (66th)
2nd	Japan	10.8	271 (52nd)
3rd	France	7.2	922 (32nd)
4th	Germany	7.1	179 (59th)
5th	UK	6.6	289 (51st)

Source: Ministry of Foreign Affairs of Japan http://www.mofa.go.jp/mofaj/gaiko/jp-un/pko-yosan.htmil United Nations Peacekeeping Operations http://www.un.org/en/peacekeeping/

One can also provide a geo-political reason due to the fact that non-UN operations spending has been concentrated in the Middle East and Central Asia and then East Asia and Pacific. Apparently, given oil interests in the Middle East and Central Asia, peace-keepers' economic interests can be behind this pattern. UN peacekeeping has been mainly concentrated in sub-Saharan Africa, where missions bolster a global public good, namely, international stability. Most countries show little interest in sub-Saharan Africa. The UN has no alternative to be put in place where most countries have little specific economic or strategic concerns. Therefore, the UN may not become a major player in peacekeeping. In this sense, the presence of non-UN peacekeeping may discourage some contributing states to support UN peacekeeping. The UN Security Council, including the UK and France, may veto proposed UN missions for them to concentrate on non-UN peacekeeping.

Having casualties of national peacekeepers is not compatible with Europeans' national interests, either. For example, during the debate in the run-up to the 2003 EU operation in the DRC, there was a widespread debate in Germany about whether German soldiers could and should be put in a position for this reason. Additionally, for European troops, the

traumatic effects of combat in Afghanistan on returning soldiers have become a recurring element of public discourse. This public attitude has discouraged European governments from sending their troops especially to robust UN peacekeeping operations.¹⁴

4-1-2 self-sufficiency

The second reason is based on the principle of "self-sufficiency". For example, the slogan of "African Solutions for African Problems" is the typical principle for this reason. This principle is compatible with other concepts and ideology including sustainable development, capacity-building, and even de-colonialization. This principle became more significant and noticeable especially in the post-cold war period when great and super powers had less motivation in domestic and regional matters in Asia and Africa. From another viewpoint, this principle has gained wide support from its advocates from the sectors of peace-building and state-building in the post-conflict situations after the civil wars in the 1990s and 2000s. Presumably, this principle and concept would also be a preferable factor to the departure of European states from UN peacekeeping, especially, from Africa. One of the typical reflections of "African Solutions for African Problems" on military and conflict management is the advent of peacekeeping operations led by the African Union (AU). Since its establishment in 2002, the AU created eight peace operations, and their tasks varied, ranging from election monitoring to peace enforcement. (Table 8)

Table 8: African Union Peace Operations, 2003-2012

Mission	Location	Duration	Size	Main Tasks
AU Mission in Burundi (AMIB)	Burundi	2003-2004	3,250	Peacebuilding (DDR; humanitarian assistance) Enforcement
AU Military Observer Mission in the Comoros (MIOC)	Comoros	2004	41	Peace Observation
AU Mission in Sudan (AMIS)	Darfur	2004-2007	7,700	Peacekeeping/Civilian Protection
Special Task Force Burundi	Burundi	2006-2009	750	VIP protection
AU Mission for Support to the Elections in the Comoros (AMISEC)	Comoros	2006	1,260	Election Monitor
AU Mission in Somalia (AMISOM)	Somalia	2007-present	17,731	Protection of government, counter- insurgency
AU Electoral and Security Assistance Mission to the Comoros (MAES)	Comoros	2007-2008	350	Election support
Operation Democracy in Comoros	Comoros	2008	1,350	Enforcement

Source: Arthur Boutellis and Paul D. Williams "Peace Operations, the African Union, and the United Nations: Toward More Effective Partnerships", Working Paper, International Peace Institute, April 2013

The advantage of AU peacekeeping operations in Africa is that peacekeepers who are from neighboring countries are more familiar with each other's problems than outsiders. Neighbors usually have a fairly common culture, a common social identity, a common his-

tory, and similar experiences.¹⁵ The AU can deploy troops from neighboring countries quicker and cheaper than the UN. There is a tendency that the latter deploys larger and more costly multidimensional operations than the former. Meanwhile, one can point out differences in peacekeeping doctrine between the AU and the UN. Instead of waiting for a peace to keep, they claimed that the AU views peacekeeping as an opportunity to establish peace before keeping it. In a similar context, AU troops can conduct peace enforcement tasks in contexts where the absence of a comprehensive ceasefire agreement or political settlement may prevent the UN from deploying a peacekeeping operation, and/or where UN troop-contributing countries would be more reluctant to send troops. Furthermore, they argued that the AU and African troops can sometimes add political legitimacy and leverage to a peace operation, especially in contexts where the host government and/or subregion may not welcome a UN presence.¹⁶ In short, European simply can leave the African conflicts for Africans instead of putting their troops in UN or EU peacekeeping.

4-1-3 criticism of UN peacekeeping

The third reason is the criticism of UN peacekeeping and the preference of its own regional peacekeeping mechanism. It is generally agreed that the EU is more capable of rapid force generation than the UN. In the case of UN peacekeeping operations, it sometimes takes months to recruit the sufficient size of peacekeeping forces after the adoptions of UN Security Council resolutions. European peacekeepers also consider that they have the capabilities to deploy troops to difficult areas of operation more professionally than UN counterparts.¹⁷ Many European peacekeepers prefer their own countries' commanders who are believed to have better skills in command and control than UN peacekeeping commanders. For example, an Italian scholar stated that such integrated command and control mechanisms are more familiar to Italy through its participation in EU missions instead of the UN. 18 Likewise, a French expert on peacekeeping argued that while France's White Paper on Defence and National Security put the UN at the center of its international security architecture, the UN is still perceived as structurally ill-adapted to France's conception of military crisis management.¹⁹ Meanwhile, Paul Williams, a British scholar specializing in peacekeeping and international security management, argued that many British political and military elites believe that the country's significant military power should not be considered an ordinary UN contributor. They consider that British troops would be wasted as rank and file infantry in UN operations because other states can provide such forces more effectively and cheaply.²⁰

4-2 Pro-UN peacekeeping perspectives for Europeans

In spite of the above negative factors, the necessity of the European states to deploy their troops in UN peacekeeping operations should be highly encouraged for the following three reasons: 1) the factor of (ir)relevance; 2) the factor of legitimacy; and 3) the factor of (European) value in UN peacekeeping.

4-2-1 (ir)relevance

The first argument is that regional peacekeeping cannot be a substitute for UN peacekeeping. Malte Brosig argues that most of the EU operations in Africa have been small in scale, focused on a limited number of policy goals, short term oriented and have operated in a limited geographical space. Calculating from Table 9, which refers to EU and UN operations in 2013, there is a huge gap between UN and EU peace operations in the numbers of military personnel per mission. (3,207 military personnel in UN peace operations and 170 personnel in EU) As can also be seen from Table 6, there are only three EU peace operations whose troop size exceeds 1,000; ARTEMIS, EUFOR ALTHEA, and EUFOR Tchad/RCA.

Table 9: EU and UN Peace Operations 2013

	United Nations	European Union	
Number of Missions	28	17	
Deployed Personnel	112,291	5,014	
Military	89,799	2,891	
Police	14,165	932	
Civilian	8,327	1,191	

Source: Tobias Pietz "The European Union and UN Peacekeeping: Half-time for the EU's Action Plan" *Policy Briefing*, the Center for International Peace Operations (ZIF), Berlin, October 2013)

Brosig also points out that a number of EU missions have been deployed in conjunction with the UN. In such cases EU peacekeeping either plays a role of a bridging mission preparing the ground for the UN (e.g. in Chad and Central African Republic) or it filled in functional gaps, as for example in the DRC (where it provided specialized support for elections or police reform). In short, it is evident that EU peacekeeping is essentially providing packaged support for existing missions run by the UN. In other words, in reality, EU peacekeeping cannot be a substitute for UN peacekeeping. Therefore, unless the European states remain reluctant to send troops to UN peacekeeping, the UN operations would simply be "the operations without European troops", and the troops from no other states can play a role and function replacing European troops. In sum, it is considered irrelevant

to compare between UN and EU peacekeeping operations on the same level.

4-2-2 legitimacy

The second argument is based on the factor of legitimacy. First, legitimacy in UN peacekeeping lies in its effectiveness of mechanism. While a number of scholars, experts and practitioners on UN peacekeeping have pointed out several concerns and even defects in UN peacekeeping mechanism, there has still been a number of positive supporters who asserted that UN peacekeeping operations "work well". In fact, there have been a wide range of criticisms of UN peacekeeping in accordance with various research and the lesson-learned literature on UN peacekeeping. However, there have been very few experts who deny or negate UN peacekeeping itself. On the contrary, there are several significant researches which proved the positive effect of UN peacekeeping operations. For example, Alan James, a pioneer of UN peacekeeping research, conducted research almost all of the major conflicts in the world in the 20th century in Peacekeeping in International Politics (London: Macmillan, 1990), and reached the conclusion that "There can be little question that this device [international peacekeeping operations] has been of considerable assistance of international peace."²² Michael Doyle and Nicholas Sambanis, conducted research of UN peace missions with extensive data, by referring to the extent of three conditions of peacekeeping success, declined hostility, local capacities and international assistance. They concluded in their book Making War and Building Peace (Princeton and Oxford: Princeton University Press, 2008) that "UN missions that are properly matched to the ecology of conflict [and especially multidimensional peacekeeping operations] help foster positive peace and prevent the recurrence of war by building the local institution."23 Virginia Page Forta, who also conducted extensive research of the effectiveness of international peacekeeping with data of case studies in her book Does Peacekeeping Work? (Princeton and Oxford: Princeton University Press, 2008), argues "having a peacekeeping mission present reduces the estimated risk of another war by about 70%-75%, while having had one in the past that has done its job and gone home cuts the hazard of another war by 87%-100%". Forta concluded "in short, peacekeepers make an enormous difference to the prospects for peace, not only while they are present, nut even after they depart. They are extremely effective at helping belligerents to set up self-sustaining peace". 24

Meanwhile, there has not been extensive research analyzing the effectiveness of regional or non-UN peacekeeping operations with a longer perspective. UN peacekeeping operations still have legitimacy in their effective mechanism to which European states should be committed for a longer period. On the contrary, the deployment of non-UN

peacekeeping forces would be disadvantageous for European great powers. The history of European colonization in Africa makes it more preferable for African states to accept European troops in the framework of UN peacekeeping as a legitimacy measure.

Alex Bellamy, Paul Williams and Stuart Griffin also points out several positive roles of peacekeeping operations by international organizations such as the UN, compared with regional peacekeeping or coalitions of the willing. For example, international organizations help to set the rules for peacekeepers themselves. Contributing states must work within the organization's agreed rules and to the mandate it provides. International organizations provide greater accountability and transparency than coalitions of the willing organized by pivotal states and often possess internal procedures for auditing operations.²⁵

Another factor of legitimacy for UN peacekeeping operations is related to the value of multilateralism in the UN. Christine Gray argues that the reluctance of European states to participate in UN peacekeeping in Africa, and their apparent preference that regional organizations should play the main role, leads on to questions about the duties of member states and the nature of multilateralism. Gray continues:

…[M]ember states on the UN have a duty to operate multilaterally. … Is there not an even stronger duty to contribute to UN operations when called on especially where they are designed to prevent genocide or other humanitarian disaster? .. Is there not a duty not only to act unilaterally but also to enable the UN to act effectively?. … In practical terms the rhetoric of "partnership" and "ownership", however attractive, is no substitute for multilateralism.²⁶

The legitimacy issue in UN peacekeeping operations can be recognized in the UN Security Council. Needless to say, the Security Council should value the nature of UN peacekeeping operations, and is therefore required to make efforts to develop UN operations. Meanwhile, in reality, two out of five states of the Security Council from Europe, namely the UK and France, has been, however, reluctant to send their troops to UN peacekeeping. If the UK and France intend to maintain their policy of keeping distance from UN peacekeeping, they should positively reconsider opening the door of the UN Security Council reform so that "more pro-UN peacekeeping states" could join the Security Council as permanent members.

Legality is also seen as determining the legitimacy of UN peacekeeping operations. It is well-known that Chapter VIII of the UN Charter has been occasionally misled or mar-

ginalized by developed countries including some Europeans, for example, in the case of the NATO airstrikes in Serbia in 1995 and the Iraq War in 2003. One is wondering if the reliance by developed states on an increased role for regional and sub-regional organizations in peacekeeping and peace enforcement has led to a change in the interpretation of Chapter VIII of the UN Charter on regional action. In other words, the question has araised whether developed states including European states might intend to rewrite the Charter, specifically to accept a more flexible interpretation of Article 53 on regional action to allow regional organization greater autonomy and wider power to use force.²⁷

4-2-3 (Europeans') value

Thirdly, European states have the significant values for their participation in UN peacekeeping operations. It has been well known that many UN peacekeeping operations in the post-cold war are multi-functional, with the sectors of election-monitoring, human right protections, SSR, rule of law and justice etc. These sectors in UN peacekeeping have been based on the democratization process, modelled on the modern Western political system. Therefore, it should be recommended that European contingents participate in the current multi-functional UN peacekeeping countries in the developing countries in Asia and Africa.

European troops and their peacekeepers should also be valued in terms of morality and capability in operational areas in UN peacekeeping. For example, it has been well-known that some UN peacekeepers, especially from Africa, have been criticized for their non-humanitarian conduct such as the violation of human rights and sexual harassment. Other peacekeepers from developing countries have made use of the opportunities in their UN missions as a business chance.²⁸

The report of the International Peace Institute (IPI) also clearly stated that even in many of its largest and most challenging missions, particularly in sub-Sahara Africa where there are few European UN peacekeepers, UN peacekeeping still lacks an array of critical enablers, which significantly limits its operational capabilities and negatively affects the implementation of mission mandates.²⁹ European troops would definitely contribute to improving morale and capability in UN peacekeeping in Africa.

In fact, according to the UN's Office of Military Affairs, many of the gaps in UN peacekeeping capabilities are in the areas of interoperability, intelligence-driven operations and more air assets. ³⁰ It is recommended that European contributors should be in a position to provide air assets. According to research by New York University's Center on International Cooperation (CIC), current UN force generation resources and mechanisms have

been unable to effectively meet requirements for military helicopters in UN peacekeeping operations. In fact, the UN anticipated a shortage of 56 out of 137 authorized helicopters in April 2011. This shortage has negatively impacted a peacekeeping force's operational mobility, deterrent credibility, and logistical functions, as well as other critical mission capabilities such as medical and casualty evacuation.³¹ Therefore, the UN has sought to strengthen the military component of its peacekeeping operations with "force enablers" including helicopters. Table 10 shows helicopter contributing states to UN peacekeeping operations. Of the 13 states providing helicopters to UN peacekeeping operations, nine states³² were also among the top twenty troop countries. Utility helicopters are in general used in the presence of national contingents on the ground to offer additional protection to these forces. Meanwhile, attack helicopters are used for enforcement actions by peacekeepers. In fact, the significant role of the UN Force Intervention Brigade (FIB) in the UN Stabilisation Mission in DRC (MONUSCO) defeating the local rebel group, the M23, was in part due to the offensive equipment including attack helicopters.³³ However, Table 10 shows that Italy and Spain were the only European providers of helicopters. Since European states possess more sophisticated military equipment including utility and attack helicopters than developing countries, they are recommended to participate in UN peacekeeping operations where they contribute to the issue of the shortage of helicopters.

Table 10: Helicopter Contributing States to UN Peacekeeping Operations (February 2011)

State	Utility Helicopter	Attack Helicopter	Total
Commercial	111	0	111
India	10	4	14
Ukraine	8	3	11
Bangladesh	9	0	9
Russia	8	0	8
Pakistan	6	0	6
Argentina	5	0	5
Ethiopia	0	5	5
Chile	4	0	4
Italy	4	0	4
Ghana	3	0	3
South Africa	2	0	2
Spain	2	0	2
Uruguay	2	0	2
Total	174	12	186

Source: Department of Field Support, the United Nations. Quoted from Center on International Cooperation (CIC), "Assessment of Helicopter Force Generation Challenges for United Nations Peacekeeping Operations", p. 3

5. Conclusion

This paper initially dealt with the "glorious" history of European participation in UN peacekeeping operations. This tendency continued even for several years after the termination of the cold war. However, afterwards European commitment to UN peacekeeping operations gradually faded out, when Europeans started experiencing European peace operations organized by the EU, NATO or their own country. EU-led peace operations were small, focused on limited terms and policy goals, and Europeans realized that thier own operations were efficient and effective compared with UN operations. Above all, their policy change of the departure from UN peacekeeping was significantly influenced by their strong commitment to ISAF in Afghanistan. ISAF's total military strength of nearly 100,000 was almost the same as the total size of all of the UN peacekeeping operations, and most of the European "elite" of UN peacekeepers had to dispatch massive troops to ISAF. ISAF terminated in December 2014.

Unfortunately, many European states did not return to UN peacekeeping, even though several Europeans powers such the UK, Germany, France, Italy, Poland and even Romania, who sent thousands of troops to ISAF, indicated their quantitative capabilities to peace operations. Their diminished enthusiasm might have been partly due to so-called "Afghan fatigue" and the following financial constraints in their defense budgets.³⁴ Meanwhile, this paper attributed the lack of their motivation to continue participating in UN peacekeeping to the states' strong policy of political realism, their subsequent reliance on AU peacekeeping operations in Africa, and the criticism of the UN operations themselves in terms of lack of rapid deployment capability and other professionalism. While the above arguments are reasonable and understandable, they are rather self-centered with narrow viewpoints.

Nevertheless, this paper also emphasized several significant arguments which recommended and necessitated a European return to UN peacekeeping operations. First, many EU peace operations, which are very limited and specific in their size and goals, are very different from UN operations. Therefore, European states should be encouraged to participate in UN peacekeeping when their professionalism is demanded. Second, European participation in UN peacekeeping should be recommended by the factor of legitimacy. Some extensive research proved UN operations' high record of operational effectiveness. Another legitimacy issue concerns the preference of European involvement in peace operation within the framework of the UN because of their colonial history in their operational areas and the high degree of accountability and transparency in UN operations. Above all, the

legitimacy of European commitment to UN peacekeeping is due to the multilateralism of the UN, strongly requits in its Charter. Third, related to the first, Europeans are demanded to participate in UN peacekeeping operations because of the values which Europeans have in conducting UN peacekeeping operations. Europeans can instruct the Western-led humanitarian and democratization process, where some other contributing states are not developed, in current multi-functional UN peacekeeping operations. Europeans should provide their advanced technology demanded in current UN peacekeeping such as utility and attack helicopters. This paper recognizes the significance of these arguments and therefore supports Europeans' further commitment to UN peacekeeping operations as well as regional ones. European states are expected to play a more significant role as model UN peacekeepers again as the pioneers of UN peacekeeping operations.

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- 22 Alan James *Peacekeeping in International Politics* (London: Macmillan, 1990), pp. 368-369. James continued, "It has not served a primary role in this regard, as there is virtually no evidence to suggest that states are discouraged from warlike activity solely by the availability of peacekeeping bodies, or even by their presence. But if, for whatever reason, states are disposed towards peace, the implementation of that disposition can be considerable assisted by military personnel playing an untypical, peacekeeping role. In that secondary sense, peacekeeping deserves credit for its contribution towards making the twentieth century less battle-scarred than it might otherwise have been." Ibid. p. 369
- 23 Michael Doyle and Nicholas Sambanis *Making War and Building Peace* (Princeton and Oxford: Princeton University Press, 2008), p. 335
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